

FORM 1

THE DEPOSIT INSURANCE ACT

APPLICATION FOR DEPOSIT INSURANCE

(Pursuant to Section 12(1))

NOTE: Where additional space in which to answer is required, the applicant shall complete the answer on a separate page that shall be marked as an attachment and cross-referenced to the item to which it pertains. Each such separate page shall be initialled by the signatories to this application or such other persons authorized in their place.

Section A: General Applicant Information

1. Corporate name of the applicant.....  
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2. (a) Date of incorporation/registration of the applicant.....  
(b) Date on which applicant commenced business.....
3. Country of incorporation of the applicant.....
4. If incorporated in Jamaica, give address, telephone and facsimile numbers of the registered office of the applicant.....  
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.....
5. Address, telephone and facsimile numbers of the principal place of business if different from paragraph 4 above.....  
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.....
6. Information in respect of the officer who is authorized to represent applicant with respect to this application:  
  
Full Name.....  
Job Title.....  
Address.....  
Telephone Number (s).....  
Facsimile Number (s).....
7. If the applicant is incorporated outside of Jamaica:  
(a) Address of the head office.....  
.....  
(b) Address of the principal office in Jamaica.....  
.....  
(c) Name of one of its officers who is the authorized agent in Jamaica  
.....  
(d) Name of one of its officers who is alternate authorized agent in Jamaica  
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13. Information in respect of the external auditor(s) of the applicant:
- (a) Name.....
  - (b) Address.....
  - (c) Telephone number (s).....
  - (d) Facsimile number(s).....
  - (e) Contact person(s).....
14. Name and address of banker (s) of the applicant
- .....
- .....
- .....
15. The applicant must attach a copy of its latest audited balance sheet and profit and loss accounts in respect of all business transacted by it in the previous financial year and prepared in the manner prescribed in its governing statute or any regulations made under the Bank of Jamaica Act.

**Section B: Information and Corporate Structure**

16. Information on persons with at least 20% of voting shares of the applicant:

<i>Name</i>	<i>Occupation</i>	<i>Address (Home and Business)</i>	<i>Number and type of Shares held and J\$ Amount</i>
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17. If the applicant is the subsidiary of another company, name, address and nature of business of that other company:

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18. Name and address, and nature of business of all subsidiaries of the applicant:

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19. Where applicable, attach a corporate organizational chart, showing clearly, the relationship of the applicant to other companies with which it forms part of a group.

20. Attach the certified copy of the latest Annual Return of the applicant required under sections 121 and 122 of the Companies Act, or the information required pursuant to those sections which has not been requested herein.

**Section C: Other Information**

21. Has the applicant, its holding company, subsidiaries or any of their officers ever under the laws of any jurisdiction:-

*(Tick the appropriate box. Submit details separately, where "yes" is indicated).*

	<u>Yes</u>	<u>No</u>
(a) been refused deposit insurance?	[ ]	[ ]
(b) held deposit insurance that has been suspended, revoked, or been the subject of investigation	[ ]	[ ]
(c) been refused a licence to accept deposits?	[ ]	[ ]
(d) had its licence as a deposit-taking financial institution suspended, revoked, or the subject of an investigation?	[ ]	[ ]
(e) been found guilty of fraud, misappropriation of funds, or violation of laws regulating the provision of financial services or securities trading?	[ ]	[ ]

22. Give details of any pending litigation to which the applicant is a party that might materially affect the capital base of the applicant .....

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Signed by Authorized Signatory..... Date.....

Print Full Name..... Title.....

Signed by Authorized Signatory..... Date.....

Print Full Name..... Title.....

Affix Corporate Seal

**Section D: Documents Submitted (Tick as appropriate)**

- (1) Certified copy of Certificate of Incorporation [ ]
- (2) Certified copy of Memorandum of Association and Articles of Association or document certifying incorporation [ ]
- (3) Copy of latest audited financial statements [ ]
- (4) Certified copies of the last Annual Return required under sections 121 and 122 of the Companies Act [ ]
- (5) Corporate Organization Chart [ ]
- (6) Other (please specify) [ ]